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October 17, 2003

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03.0220

VIA FEDEX

James R. Thein, Esq. James Thein Law Offices 2231 Crystal Drive Crystal Park 3, Suite 105 Arlington, Virginia 22202

Re: CALIENT NETWORKS, INC.

U.S. Patent Application for

Title: ELECTROSTATIC ACTUATOR FOR

MICROELECTROMECHANICAL SYSTEMS AND

METHODS OF FABRICATION

Application No: 09/775,491 Filed: February 2, 2001

Our Reference No.: 4341P053

Dear Jim:

Please file the enclosed Information Disclosure Statement by <u>hand carrying</u> it to Examiner Karl Tamai in Group Art Unit 2834 on <u>Monday morning</u>, <u>October 20</u>, <u>2003</u>. The telephone number of Examiner Tamai is (703) 305-7066.

Please have the postcard stamped and returned to us.

Thank you for your assistance.

Very truly yours,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Lester J. Vincent

LJV/cpm

encl.

FEE TRANSMITTAL FOR FY 2004 OCT 2 2 2003 (FY 2004 Begins 10/01/2003) Complete if Known April 197775,491 TOTAL AMOUNT OF PAYMENT (\$) 180.00 Filing Date February 2, 2001 First Named Inventor Scott Adams, et al. Examiner Name _____ Tamai, Karl I. Art Unit 2834 4341P053 Attorney Docket No. Applicant claims small entity status. See 37 CFR 1.27. **METHOD OF PAYMENT** (check all that apply) X Check _ Credit Card _ __ Money Order ____ Other X Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. Credit any overpayments. Charge any additional fees during the pendency of this application. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged. Charge fee(s) indicated below except for the filing fee. **FEE CALCULATION** 1. BASIC FILING FEE Large Entity **Small Entity** Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid 1001 Utility application filing fee 770 2001 385 1002 Design application filing fee 340 2002 170 1003 530 Plant filing fee 2003 265 1004 770 2004 385 Reissue filing fee Provisional application filing fee 1005 160 2005 80 SUBTOTAL (1) \$ 0.00 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from bel<u>ow</u> Extra Claims Fee Paid **- 20** = Total Claims** Independent Claims Multiple Dependent **Or number previously paid, if greater; For Reissues, see below. Large Entity Small Entity

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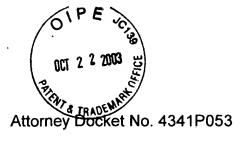
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FEE CALCULATION (c ntinued)

3. ADDITIONAL FEES

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1803	130	1808	130 130	Request for voluntary publication or republication		
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4504	200	4504	200	application		
1802	900	1802	900	Request for expedited examination of a design		
1801	770	2801	385	Request for Continued Examination (RCE)		
				(see 37 CFR 1.129(b))		
1810	770	2810	385	For each additional invention to be examined		
1814	110	2814	55	Statutory Disclaimer		
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1809	770	2809	385	property (times number of properties) For filing a submission after final rejection		
8021	40	8021	40	Recording each patent assignment per		
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	122.22	
1460	130	1460	130	Petitions to the Commissioner		
1503	640	2503	320	Plant issue fee		
1502	480	2502	240	Design issue fee		
1501	1,330	2501	665	Utility issue fee (or reissue)		
1453	1,330	2453	665	Petition to revive - unintentional		
1452	110	2452	55	Petition to revive – unavoidable		
1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1402	290	2402	145	Request for oral hearing		
1401	330 330	2401 2402	165 165	Notice of Appeal Filing a brief in support of an appeal		
1255 1401	2,010	2255 2401	1,005 165	Extension for reply within fifth month		
1254	1,480	2254	740	Extension for reply within fourth month		
1253	950	2253	475	Extension for reply within third month		
1252	420	2252	210	Extension for reply within second month		
1251	110	2251	55	Extension for reply within first month		
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
1813	8,800	1813	8,800	Request for inter parties reexamination		
1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
1053	130	1053	130	Non-English specification		
1051	50	2051	25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet		
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Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)
Scott Adams, et al.) Examiner: Tamai, Karl I.
Application No.: 09/775,491) Art Unit: 2834)
Filed: February 2, 2001)
For: ELECTROSTATIC ACTUATOR FOR MICROELECTROMECHANICAL SYSTEMS AND METHODS OF FABRICATION))))

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The Information Disclosure Statement is a resubmittal of references previously sent to the U.S. Patent and Trademark Office as part of previous Information Disclosure Statements for this case.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

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LJV/cak (05/01/03)

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

	37 C.F.R. §1.97(b).
XXX	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
XXX	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C F R \$1.97(d). If so, then enclosed with this Information

Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Octob 17, 2003

Lester J. Vincent Reg. No. 31,460

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
Al	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
А9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
В2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
Н	Statutory Invention Registration (SIR)	No change
Pl	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
Р3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
Р9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

Substitute	for Form 1449	/PTO		Compl te it	Known	
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		DE 4224601 A1	1/27/1994	Hansmann et al.						
		DE 19757181 A1	07/01/1999	Bosch						
-		DE 19800745 A1	07/15/1999	Bosch						
		EP 0 834759 A2	04/08/1998	Dhuler, et al.						
		EP 0 980014 A1	02/16/2000	Meier, et al.						

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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		EP 1 120677 A2	Wood et al.	08/01/2001		
		EP 1 120989 A1	Mazzurco et al.	08/01/2001		
		GB 2 175 705 A	12/3/1986	Greenwood et al.		
		GB 2 239 101 A	06/19/1991	Rowe		
		GB 2 275 787 A	09/07/1994	Pember		

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		JP 60-107017	6/12/1985	Tanabe et al.		1					
		JP 5-107485 A	4/30/1993	Koyabu et al.		1					
		JP 6-180428	6/28/1994	Toyoda et al.		1					
		WO 94/18697	8/18/1994	Shaw et al.							
		WO 97/04283	2/6/1997	Miller et al.							

Examiner	Date Considered	
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		WO 99/36941	7/22/1999	Adams et al.							
		WO 99/36948	7/22/1999	Adams et al.							
		WO 99/67666	12/29/1999	Laor							
		WO 01/33898 A2	05/10/2001	Ramaswami et al.							
		WO 01/39413 A2	05/31/2001	Ramaswami et al.							

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select ption 2.

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						TERATURE DOCUMENTS		
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		Silicon NY 148	Torsiona 853, pp. 1	l Reso 184-18	onators." Sch 8, IEEE (Feb	, K. A. Shaw, and Noel C. Macl hool of Electrical Engineering, (oruary 1993).	Cornell University, Ithaca,	
		Interco Sensor	nnect & E r & Actuat	Electric tor Ser	cal Isolation f nsor, pp. 637	Bustillo, Albert P. Pisano & Rog for High-Aspect-Ratio, SOI Inel 7-640, Transducers '97, 1997 Ir (Chicago, June 16-19, 1997)	rtial Instruments," Berkeley	
		Janusz	z Bryzek, I	Kurt P		Vendell McCulley, "Micromachi	nes on the March," IEEE	
						erman, "A Surface Micromachi Sensors and Actuators A 45, p		
						iature High-Sensivitivity Broadles," Sensors and Actuators A 43		
						s B. Angell, "A Batch-Fabricate vices, Vol. Ed-26, No. 12, pp. 1		
			Process			A Single Mask, Single-Crystal echanical Structures," Sensors		
						rication, and Operation of Sub- mechanical Systems, Vol. 1, No.		
			ecklin. et -89 (1993)		omb Actuato	ors for XY-Microstages," Senso	ors and Actuators, A, 39,	
		Insulate B Vol. 6	or Sructur 6 No. 1, p	res by p. 341	Lateral Oxid -345, (Jan/F		ds," J. Vac. Sci. Technol.	
					et al., "Seco 9-152 (1990)	ond-Order Effects in Self-Testa)	ble Accelerometers," IC	

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Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published								
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